A Survey on Spark Ecosystem: Big Data Processing Infrastructure, Machine Learning, and Applications

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Abstract—With the explosive increase of big data in industry and academic fields, it is important to apply large-scale data processing systems to analyze Big Data. Arguably, Spark is the state-of-the-art in large-scale data computing systems nowadays, due to its good properties including generality, fault tolerance, high performance of in-memory data processing, and scalability. Spark adopts a flexible 8 Resident Distributed Dataset (RDD) programming model with a set of provided transformation and action operators whose operating functions can be customized by users according to their applications. It is originally positioned as a fast and general data processing system. A large body of research efforts have been made to make it more efficient (faster) and general by considering various circumstances since its introduction. In this survey, we aim to have a thorough review of various kinds of optimization techniques on the generality and performance improvement of Spark. We introduce Spark programming model and computing system, discuss the pros and cons of Spark, and have an investigation and classification of various solving techniques in the literature. Moreover, we also introduce various data management and processing systems, machine learning algorithms and applications supported by Spark.

Finally, we make a discussion on the open issues and challenges for large-scale in-memory data processing with Spark. 15

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Index Terms-Spark, shark, RDD, in-memory data processing

INTRODUCTION 1 17

TN the current era of 'big data', the data is collected at 18 Lunprecedented scale in many application domains, 19 20 including e-commerce [112], social network [140], and computational biology [146]. Given the characteristics of the 21 unprecedented amount of data, the speed of data produc-22 tion, and the multiple of the structure of data, large-scale 23 data processing is essential to analyzing and mining such 24 25 big data timely. A number of large-scale data processing frameworks have thereby been developed, such as MapRe-26 duce [87], Storm [14], Flink [1], Dryad [102], Caffe [103], 27 Tensorflow [64]. Specifically, MapReduce is a batch process-28 ing framework, while Storm is streaming processing sys-29 tem. Flink is a big data computing system for batch and 30 streaming processing. Dryad is a graph processing frame-31 work for graph applications. Caffe and Tensorflow are deep 32 learning frameworks used for model training and inference 33 in computer vision, speech recognition and natural lan-34 35 guage processing.

36 However, all of the aforementioned frameworks are not general computing systems since each of them can only 37

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work for a certain data computation. In comparison, 38 Spark [160] is a general and fast large-scale data processing 39 system widely used in both industry and academia with 40 many merits. For example, Spark is much faster than Map- 41 Reduce in performance, benefiting from its in-memory data 42 processing. Moreover, as a general system, it can support 43 batch, interactive, iterative, and streaming computations in 44 the same runtime, which is useful for complex applications 45 that have different computation modes.

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Despite its popularity, there are still many limitations for 47 Spark. For example, it requires considerable amount of 48 learning and programming efforts under its RDD program- 49 ming model. It does not support new emerging heteroge- 50 nous computing platforms such as GPU and FPGA by 51 default. Being as a general computing system, it still does 52 not support certain types of applications such as deep learn- 53 ing-based applications [25]. 54

To make Spark more general and fast, there have been a 55 lot of work made to address the limitations of Spark [63], 56 [94], [115], [121] mentioned above, and it remains an active 57 research area. A number of efforts have been made on per- 58 formance optimization for Spark framework. There have 59 been proposals for more complex scheduling strate- 60 gies [137], [150] and efficient memory I/O support (e.g., 61 RDMA support) to improve the performance of Spark. 62 There have also been a number of studies to extend Spark 63 for more sophisticated algorithms and applications (e.g., 64 deep learning algorithm, genomes, and Astronomy). To 65 improve the ease of use, several high-level declarative [23], 66 [129], [156] and procedural languages [49], [54] have also 67 been proposed and supported by Spark. 68

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Still, with the emergence of new hardware, software and 69 application demands, it brings new opportunities as well 70 as challenges to extend Spark for improved generality and per-71 formance efficiency. In this survey, for the sake of better 72 understanding these potential demands and opportunities sys-73 tematically, we classify the study of Spark ecosystem into six 74 support layers as illustrated in Fig. 1, namely, Storage Support-75 ing Layer, Processor Supporting Layer, Data Management 76 Layer, Data Processing Layer, High-level Language Layer and 77 Application Algorithm Layer. The aim of this paper is two-fold. 78 79 We first seek to have an investigation of the latest studies on Spark ecosystem. We review related work on Spark and classify 80 81 them according to their optimization strategies in order to serve as a guidebook for users on the problems and addressing tech-82 niques in data processing with Spark. It summarizes existing 83 techniques systematically as a dictionary for expert researchers 84 to look up. Second, we show and discuss the development 85 trend, new demands and challenges at each support layer of 86 Spark ecosystem as illustrated in Fig. 1. It provides researchers 87 with insights and potential study directions on Spark. 88

The rest part of this survey is structured as follows. Section 2 89 introduces Spark system, including its programming model, 90 runtime computing engine, pros and cons, and various opti-91 mization techniques. Section 3 describes new caching devices 92 for Spark in-memory computation. Section 4 discusses the 93 extensions of Spark for performance improvement by using 94 new accelerators. Section 5 presents distributed data manage-95 ment, followed by processing systems supported by Spark in 96 97 Section 6. Section 7 shows the languages that are supported by Spark. Section 8 reviews the Spark-based machine learning 98 libraries and systems, Spark-based deep learning systems, and 99 the major applications that the Spark system is applied to. 100 101 Section 9 makes some open discussion on the challenging issues. Finally, we conclude this survey in Section 10. 102

103 2 CORE TECHNIQUES OF SPARK

This section first describes the RDD programming model, followed by the overall architecture of Spark framework.



Fig. 2. Architecture overview of Spark.

Next it shows the pros and cons of Spark, and various optimization techniques for Spark. 107

2.1 Programming Model

Spark is based on Resilient Distributed Dataset (RDD) [159] 109 abstraction model, which is an immutable collection of 110 records partitioned across a number of computers. Each 111 RDD is generated from data in external robust storage sys- 112 tems such as HDFS, or other RDDs through coarse-grained 113 transformations including map, filter and groupByKey that use 114 identical processing to numerous data records. To provide 115 fault tolerance, each RDD's transformation information is 116 logged to construct a lineage dataset. When a data partition 117 of a RDD is lost due to the node failure, the RDD can recom- 118 pute that partition with the full information on how it was 119 generated from other RDDs. It is worthy mentioning that 120 the transformation is a *lazy* operation that only defines a 121 new RDD instead of calculating it immediately. In order to 122 launch the computation of RDD, Spark offers another group 123 of action operations such as count, collect, save and reduce, 124 which either return a data result to an application program 125 or store the RDD's data to an external storage system. More- 126 over, for the data of a RDD, they can be persisted either in 127 memory or in disk, controlled by users. 128

2.2 Spark Architecture

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Fig. 2 overviews the architecture of Spark on a cluster. For 130 each Spark application, it spawns one master process 131 called *driver*, which is responsible for task scheduling. It 132 follows a hierarchical scheduling process with jobs, stages 133 and tasks, where *stages* refer to as smaller sets of tasks 134 divided from interdependent jobs, which resemble map 135 and reduce phases of a MapReduce job. There are two 136 schedulers inside it, namely, *DAGScheduler* and *TaskSchedu-* 137 *ler*. The DAGScheduler figures out a DAG of stages for a 138 job and keeps track of the materialized RDDs as well as 139 stage outputs, whereas TaskScheduler is a low-level sched-140 uler that is responsible for getting and submitting tasks 141 from each stage to the cluster for execution. 142

Spark provides users with three different cluster modes 143 (i.e., Mesos [97], YARN [149], and standalone mode) to run 144 their Spark applications by allowing driver process to con- 145 nect to one of existing popular cluster managers including 146 Mesos, YARN and its own independent cluster manager. In 147 each worker node, there is a slave process called *executor*created for each application, which is responsible for running the tasks and caching the data in memory or disk.

151 2.3 Pros and Cons of Spark

MapReduce and Flink are two powerful large-scale data
processing systems widely used for many data-intensive
applications. In this section, we take MapReduce and Flink
as baselines to discuss the pros and cons of Spark.

156 2.3.1 Spark versus MapReduce

Compared to MapReduce, Spark has the following merits: 157 Easy to Use. Spark provides users with more than 80 high-158 level simple operators (e.g., *map*, *reduce*, *reduceByKey*, *filter*) 159 160 that allow users to write parallel applications at the application level with no need to consider the underlying complex 161 162 parallel computing problems like data partitioning, task scheduling and load balancing. Moreover, Spark allows 163 164 users to write their user-defined functions with different programming languages like Java, Scala, Python by offering 165 corresponding APIs. 166

167 *Faster Than MapReduce.* Due to its in-memory computing, 168 Spark has shown to be $10 \times \sim 100 \times$ faster than MapReduce 169 in batch processing [13].

General Computation Support. First, from the aspect of processing mode, Spark is an integrated system that supports batch, interactive, iterative, and streaming processing. Second, Spark has an advanced DAG execution engine for complex DAG applications, and a stack of high-level APIs and tools including Shark [156], Spark SQL [129], MLlib and Graphx [94] for a wide range of applications.

Flexible Running Support. Spark can run in a standalone
mode or share the cluster with other computing systems
like MapReduce by running on YARN or Mesos. It also provides APIs for users to deploy and run on the cloud (e.g.,
Amazon EC2). Moreover, it can support the access of various data sources including HDFS, Tachyon [115], HBase,
Cassandra [111], and Amazon S3 [21].

Albeit many benefits, there are still some weakness forSpark, compared with MapReduce as follows:

Heavy Consumption of Storage Resources. As an in-memory 186 data processing framework, Spark is superior to MapRe-187 188 duce in performance, achieved by reducing the redundant computations at the expense of storage resources, especially 189 190 memory resource. Similar to existing popular in-memory caching systems like Memcached [134], [163] and Redis [78], 191 it saves RDD data in memory and keeps it there for data 192 sharing across different computation stages. More memory 193 resources are needed when there are a large volume of RDD 194 195 data to be cached in computation.

Poor Security. Currently, Spark supports authentication 196 through a shared secret [12]. In comparison, Hadoop has 197 more security considerations and solutions, including 198 199 Knox [10], Sentry [16], Ranger [11], etc. For example, Knox provides the secure REST API gateway for Hadoop with 200 authorization and authentication. In contrast, Sentry and 201 Ranger offer access control and authorization over Hadoop 202 data and metadata. 203

Learning Curve. Although Spark is faster and more general than MapReduce, the programming model of Spark is much more complex than MapReduce. It requires users to 206 take time to learn the model and be familiar with provided 207 APIs before they can program their applications with Spark. 208

2.3.2 Spark versus Flink

As the biggest competitor of Spark, Flink [1] is a stateful in- ²¹⁰ memory big data computing system for batch, streaming ²¹¹ and interactive data processing. The two frameworks learn ²¹² from each other and have many similarities in their func- ²¹³ tions, which are compared and summarized as follows: ²¹⁴

Data Abstraction Model and Performance. The two frameworks are based on different programming models for 216 batch and streaming applications. For Spark, it is based on 217 RDD abstraction model for batch computation and DStream 218 model for streaming computation. Since DStream is internally RDD itself, the streaming computation of Spark is 220 indeed a *near* realtime streaming processing system 221 achieved by emulating the streaming process through a 222 serial of micro-batch computations. In contrast, Flink leverages Dataset abstraction for batch applications and Data-Stream for streaming applications, which is the real eventbased streaming system. 226

Compared to MapReduce, Spark and Flink can achieve 227 higher performance efficiency for batch and streaming 228 applications due to their in-memory computation. Particu- 229 larly, for iterative batch applications and streaming applica- 230 tions, Flink is faster than Spark due to its incrementally 231 iterative computation and streaming architecture that only 232 handle portion of data that have actually changed [126]. 233

Generality. Like Spark, Flink is also a general computing 234 system that 1) supports a variety of computations including 235 batch, streaming, iterative, interactive computation as well 236 as graph, machine learning computation, etc, and 2) has a 237 number of programming language supports such as SQL, 238 Java, Scala, Python, R, etc. Moreover, both Spark and Flink 239 are fully compatible to Hadoop Ecosystem, which can run 240 in YARN and process data in HDFS, HBase, Cassandra, 241 Hive, etc. All of these make Spark and Flink become flexible 242 and easy-to-use in practice. 243

Fault Tolerance. Spark and Flink are both fault tolerant but 244 on the basis of different mechanisms. Spark achieves fault 245 tolerance based on the lineage recovery mechanism, which 246 is an efficient fault tolerance mechanism that only needs to 247 recompute the lost data through lineage information with 248 no extra storage cost. In constrat, Flink is based on Chandy- 249 Lamport distributed snapshots [76] acting as consistent 250 checkpoints, which is a lightweight fault tolerance mecha- 251 nism that can achieve high throughput while offer strong 252 consistency guarantees at the same time. 253

Maturity and Popularity. Spark is relatively more mature 254 and popular than Flink in the big data community. First, the 255 documents of Spark are well written and maintained by Spark 256 community whereas for Flink it is still under documenting. 257 Because of this, the number of active users for Spark is much 258 larger than Flink. Second, like Spark, the security of Flink is 259 poor and not mature. It only supports user-level authentica- 260 tion via Hadoop/Kerberos authentication. 261

Summary. For the sake of better understanding Spark's ²⁶² characteristics, we make a summary of Spark, Flink and ²⁶³ MapReduce in Table 1 with respect to different metrics. ²⁶⁴ First, the three frameworks have a good usability, flexibility, ²⁶⁵

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TABLE 1 The Comparison of Spark, Flink and MapReduce

Metrics	Spark	Flink	MapReduce		
Usability	Easy-to-use	Easy-to-use	Easy-to-use		
Performance	Ĥigh	Ĥigh	Low		
	Efficiency	Efficiency	Efficiency		
Generality	Yes	Yes	No		
Flexibility	Yes	Yes	Yes		
Scalability	Yes	Yes	Yes		
Fault Tolerance	Yes	Yes	Yes		
Memory	Heavy	Heavy	Heavy		
Consumption		5	,		
Security	Poor	Poor	Strong		
Learning	hard-to-learn hard-to-learn easy-to-learn				
Popularity	Yes	No	No		

scalability, and fault tolerance properties. All of complex 266 267 details of distributed computation are encapsulated and well considered by frameworks and are transparent to 268 users. Second, both Spark and Flink outperform MapRe-269 duce in performance and generality, attributing to Spark 270 and Flink's in-memory computation and their flexible pro-271 gramming models. Reversely, MapReduce has a stronger 272 security and easy-to-learn property than Spark and Flink. 273 Compared to Spark and Flink, the programming model of 274 MapReduce is more simple and mature. Moreover, the three 275 frameworks have the problem of high memory consump-276 tion, due to the heavy memory usage of JVMs. Finally, due 277 to the strong merits and well-written documentation of 278 Spark, it has become the most popular project among the 279 three frameworks. 280

281 2.4 Spark System Optimization

Performance is the most important concern for Spark system. Many optimizations are studied on top of Spark in order to accelerate the speed of data handling. We mainly describe the major optimizations proposed on the Spark system in this section.

287 2.4.1 Scheduler Optimization

The current Spark has a centralized scheduler which allo-288 cates the available resources to the pending tasks according 289 to some policies (e.g., FIFO or Fair). The design of these 290 scheduling policies can not satisfy the requirements of cur-291 292 rent data analytics. In this section, we describe different kinds of schedulers that are especially optimized for large-293 scale distributed scheduling, approximate query process-294 ing, transient resource allocation and Geo-distributed set-295 296 ting, respectively.

297 Decentralized Task Scheduling. Nowadays, more and more Big Data analytics frameworks are with larger degrees of 298 parallelism and shorter task durations in order to provide 299 low latency. With the increase of tasks, the throughput and 300 301 availability of current centralized scheduler can not offer low-latency requirement and high availability. A decentral-302 ized design without centralized state is needed to provide 303 attractive scalability and availability. Sparrow [137] is the-304 state-of-art distributed scheduler on top of Spark. It pro-305 vides the power of two choices load balancing technique for 306 Spark task scheduling. The power probes two random 307

servers and places tasks on the server with less load. Spar- 308 row adapts the power of two choices technique to Spark so 309 that it can effectively run parallel jobs running on a cluster 310 with the help of three techniques, namely, Batch Sampling, 311 Late Binding and Policies and Constraints. Batch Sampling 312 reduces the time of tasks response which is decided by the 313 finishing time of the last task by placing tasks of one job in a 314 batch way instead of sampling for each task individually. 315 For the power of two choices, the length of server queue is a 316 poor norm of latency time and the parallel sampling may 317 cause competition. Late binding prevents two issues hap- 318 pening by delaying allocation of tasks to worker nodes 319 before workers get ready to execute these tasks. Sparrow 320 also enforces global policies using multiple queues on 321 worker machines and supports placement constraints of 322 each job and task.

Data-Aware Task Scheduling. For machine learning algo- 324 rithms and sampling-based approximate query processing 325 systems, the results can be computed using any subset of the 326 data without compromising application correctness. Cur- 327 rently, schedulers require applications to statically choose a 328 subset of the data that the scheduler runs the task which 329 aviods the scheduler leveraging the combinatorial choices of 330 the dataset at runtime. The data-aware scheduling called 331 KMN [150] is proposed in Spark to take advantage of the 332 available choices. KMN applies the "late binding" technique 333 which can dynamically select the subset of input data on 334 the basis of the current cluster's state. It significantly increases 335 the data locality even when the utilization of the cluster is 336 high. KMN also optimizes for the intermediate stages which 337 have no choice in picking their input because they need all the 338 outputs produced by the upstream tasks. KMN launches a 339 few additional jobs in the previous stage and pick choices that 340 best avoid congested links. 341

Transient Task Scheduling. For cloud servers, due to vari- 342 ous reasons, the utilization tends to be low and raising the 343 utilization rate is facing huge competitive pressure. One 344 addressing solution is to run insensitive batch job work- 345 loads secondary background tasks if there are under-uti- 346 lized resources and evicted them when servers's primary 347 tasks requires more resources (i.e., transit resources). Due to 348 excessive cost of cascading re-computations, Spark works 349 badly in this case. Transient Resource Spark (TR-Spark) [157] 350 is proposed to resolve this problem. It is a new framework 351 for large-scale data analytic on transient resources which 352 follows two rules: data scale reduction-aware scheduling 353 and lineage-aware checkpointing. TR-Spark is implemented 354 by modifying Spark's Task Scheduler and Shuffle Manager, 355 and adding two new modules Checkpointing Scheduler 356 and Checkpoint Manager. 357

Scheduling in a Geo-Distributed Environment. Geo-distrib- 358 uted data centers are deployed globally to offer their users 359 access to services with low-latency. In Geo-distributed setting, 360 the bandwidth of WAN links is relatively low and heteroge- 361 neous compared with the intra-DC networks. The query 362 response time over the current intra-DC analytics frameworks 363 becomes extreme high in Geo-distributed setting. Irid- 364 ium [139] is a system designed for Geo-distributed data ana- 365 lytics on top of Spark. It reduces the query response time by 366 leveraging WAN bandwidth-aware data and task placement 367 approaches. By observing that network bottlenecks mainly 368 occur in the network connecting the data centers rather than
in the up/down links of VMs as assumed by Iridium, Hu *et al.* [98] designed and implemented a new task scheduling
algorithm called Flutter on top of Spark. which reduces both
the completion time and network costs by formulating the
optimization issue as a lexicographical min-max integer linear
programming (ILP) problem.

376 2.4.2 Memory Optimization

Efficient memory usage is important for the current in-377 memory computing systems. Many of these data processing 378 frameworks are designed by garbage-collected languages 379 like C#, Go, Java or Scala. Unfortunately, these garbage-col-380 lected languages are known to cause performance overhead 381 due to GC-induced pause. To address the problem, current 382 studies either improvement the GC performance of these 383 garbage-collected language or leverage application seman-384 tics to manage memory explicitly and annihilate the GC 385 overhead of these garbage-collected languages [2], [4], [122], 386 [123]. In this section, we introduce these optimizations from 387 388 these two aspects.

Spark run multiple work processes on different nodes 389 390 and the Garbage Collection (GC) is performed independently in each node at run. Works communicate data 391 392 between different nodes (e.g, shuffle operation). In this case, no node can continue until all data are received from all the 393 394 other nodes. GC pauses can lead to unacceptable long wait-395 ing time for latency-critical applications without the central coordination. If even a single node is stuck in GC, then all 396 the other nodes need wait. In order to coordinate the GC 397 from the central view, Holistic Runtime System [122], [123] 398 is proposed to collectively manages runtime GC across mul-399 tiple nodes. Instead of making decisions about GC indepen-400 dently, such Holistic GC system allows the runtime to make 401 globally coordinated consensus decision through three 402 approaches. First, it let applications choose the most suit-403 able GC policy to match the requirement of different appli-404 cations (e.g., throughput versus pause times). Second, 405 Holistic system performs GC by considering the applica-406 tion-level optimizations. Third, the GC system is dynami-407 cally reconfigured at runtime to adapt to system changes. 408

Instead of replying the memory management of such 409 managed languages. Spark also tries to manage the memory 410 by itself to leverage the application semantic and eliminate 411 the GC overhead of these garbaged-collected languages. 412 Tungsten [4] improves the memory and CPU efficiency of 413 spark applications to make the performance of Spark reach 414 the limits of modern hardware. This work consists of three 415 proposes. First, it leverages the off-heap memory, a feature 416 provided by JAVA to allocate/deallocate memory like c 417 418 and c++, to manage memory by itself which can take advantage of the application semantics and annihilate the over-419 head of JVM and GC. Second, it proposes cache-obvious 420 algorithms and data structures to develop memory hierar-421 422 chical structure. Third, it uses the code generation to avoid the overhead the expression evaluation on JVM (e.g., too 423 many virtual functions calls, extensive memory access and 424 can not take advantage modern CPU features such as 425 SIMD, pipeline and prefetching). Recently, Spark further 426 optimizes its performance by integrating the techniques 427 proposed in Modern parallel database area [132]. Spark 2.0 428

leverages whole process code generation and vectorization 429 to further ameliorate the code generation at runtime [2]. 430

2.4.3 I/O Optimization

For large-scale data-intensive computation in Spark, the 432 massive data loading (or writing) from (or to) disk, and 433 transmission between tasks at different machines are often 434 unavoidable. A number of approaches are thereby proposed 435 to alleviate it by having a new storage manner, using data 436 compression, or importing new hardware.

Data Compression and Sharing. One limitation for Spark is 438 that it can only support the in-memory data sharing for tasks 439 within an application, whereas not for tasks from multiple 440 applications. To overcome this limitation, Tachyon [115], 441 [116] is proposed as a distributed in-memory file system that 442 achieves reliable data sharing at memory speedup for tasks 443 from different processes. The Spark applications can then 444 share their data with each other by writing (or reading) their 445 data to (or from) Tachyon at memory speedup, which is faster 446 than disk-based HDFS file system. Moreover, to enable more 447 data saved in memory for efficient computation, Agarwal 448 et al. [65] proposed and implemented a distributed data store 449 system called Succinct in Tachyon that compresses the input 450 data and queries can be executed directly on the compressed 451 representation of input data, avoiding decompression. 452

Data Shuffling. Besides the performance degradation from 453 the disk I/O, the network I/O may also be a serious bottleneck 454 for many Spark applications. Particularly, *shuffle*, a many-to- 455 many data transfer for tasks across machines, is an important 456 consumer of network bandwidth for Spark. Zhang et al. [164] 457 observed that the bottleneck for shuffle phase is due to large 458 disk I/O operations. To address it, a framework called Riffle 459 is proposed to improve I/O efficiency through combining 460 fragmented intermediate shuffle files into larger block files 461 and converting small and random disk I/O operations into 462 large and sequential ones. Davidson et al. [63] proposed two 463 approaches to optimize the performance in data shuffling. 464 One is to apply the Columnar compression technique to 465 Spark's shuffle phase in view of its success in a column-ori- 466 ented DBMS called C-Store [144], so as to offload some burden 467 from the network and disk to CPU. Moreover, they observe 468 that Spark generates a huge number of small-size shuffle files 469 on both the map and reduce phase, which introduces a heavy 470 burden on operating system in file management. A shuffle file 471 consolidation approach is thereby proposed to reduce the 472 number of shuffle files on each machine.

Moreover, prefetching is an effective technique to hide 474 shuffling cost by overlapping data transfers and the shuf- 475 fling phase. Current state-of-the-art solutions take simple 476 mechanisms to determine where and how much data to 477 acquire from, resulting in the performance of sub-optimal 478 and the excessive use of supplemental memory. To address 479 it, Bogdan *et al.* [133] proposed an original adaptive shuffle 480 data transfer strategy by dynamically adapting the prefetch-481 ing to the calculation. It is achieved by taking into account 482 load balancing for request extraction using executor-level 483 coordination, prioritization according to locality and 484 responsiveness, shuffle block aggregation, elastic adjust-485 ment of in-flight restrictions, static circular allocation of ini-486 tial requests, and dispersal using in-flight increment. 487

488 There are also some work focusing on optimizing shuffling under a certain circumstance. Kim et al. [107] considered the 489 I/O optimization for Spark under large memory servers. It 490 can achieve better data shuffling and intermediate storage by 491 replacing the existing TCP/IP-based shuffle with a large 492 shared memory approach. The communication cost of map 493 494 and reduce tasks can be reduced significantly through referencing to the global shared memory compared with data 495 transferring over the network. Liu et al. [120] studied the data 496 shuffling in a wide-area network, where data transfers occur 497 between geographically distributed datacenters. It designed 498 and implemented a data aggregation spark-based system by 499 aggregating the output of map tasks to a subset of worker 500 datacenters strategically and proactively, which replaces the 501 original passive fetch mechanisms used in Spark across data-502 503 centers. It can avoid repetitive data transfers, which can thereby improve the utilization of inter-datacenter links. 504

RDMA-Based Data Transfer. Lu et al. [121] accelerated the
network communication of Spark in big data processing
using Remote Direct Memory Access (RDMA) technique.
They proposed a RDMA-based data shuffle engine for
Spark over InfiniBand. With RDMA, the latency of network
message communication is dramatically reduced, which
improves the performance of Spark significantly.

512 2.4.4 Provence Support

Data-intensive scalable computing (DISC) systems such as 513 Hadoop and Spark, provide a programming model for 514 users to authorize data processing logic, which is converted 515 to a Directed Acyclic Graph (DAG) of parallel comput-516 ing [101]. Debugging data processing logic in DISC systems 517 is difficult and time consuming. A library, Titian [101], pro-518 vides data provenance support at the velocity of interactive 519 based on Apache Spark. The contributions of Titian are 520 summarized as follow: A data lineage capture and query 521 support system while minimally impacting Spark job per-522 formance. Interactive data provenance query support the 523 expansion of a conversant programming model Spark RDD 524 with less overhead. Titian extends the native Spark RDD 525 interface with tracing capabilities and returns a Linea-526 geRDD, traveling by dataflow transformations at stage 527 boundaries. The user is able to retrospect to the intermedi-528 529 ate data of the program execution from the given RDD, then leverage local RDD transformations to reprocess the refer-530 enced data. 531

532 Currently, researchers use cloud computing platforms to analyse Big Data in parallel, but debugging massive parallel 533 534 computations is time consuming and infeasible for users. To meet the low overhead, scalability and fine-grained 535 demands of big data processing in Apache Spark, a group 536 of interactive and real-time debugging primitives were 537 developed. BIGDEBUG [95] provides simulated break-538 539 points and guarded watchpoints with the trifling influence of performance, which indicates less than 19 percent over-540 541 head for crash monitoring, 24 percent overhead for recordlevel tracing, and 9 percent overhead for watchpoint on 542 average. BIGDEBUG supports a real-time rapid repair and 543 recovery to prevent re-running the job from the beginning. 544 Besides, BIGDEBUG offers the provenance of the culprit 545 and fine-grained tracking of records in distributed pipes to 546 track intermediate results back and forth. 547



Fig. 3. Multi-tier storage system consisting of DRAM and SSD.

An improved version of the original Titian system is 548 designed to reduce the lineage query time [100]. The two key 549 features of Titian are crash culprit determination and auto- 550 mated fault localization. The culprit information is packaged 551 and dispatch to users with other run-time records. The delta 552 debugging technique diagnose whether mistakes in code and 553 data. To promote the performance of lineage queries, they 554 extend Spark with an available way to retrieve lineage records 555 more pragmatically. For large-scale data, small tracing queries 556 generate remarkable overhead from jobs that make little con- 557 tribution to the result. Therefore, it proposes Hyperdrive, a 558 customized Spark scheduler, which utilizes partition statistics 559 to exclude the situation. Moreover, Hyperdrive decouples 560 task operations from partitions and dispenses multiple parti- 561 tions to one task. 562

3 STORAGE SUPPORTING LAYER

Spark takes DRAM as caches in its in-memory computation. 564 Although DRAM has a much higher bandwidth and lower 565 latency compared with HDD in data communication, its 566 capacity is often limited due to the high cost of DRAM as 567 well as its high power consumption [70]. It can significantly 568 constrain large-scale data applications from gaining high 569 in-memory hit-rates that is essential for high-performance 570 on Spark. The new emerging storage devices in recent years 571 give us a chance to alleviate it in the following ways: 572

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SSD-Based In-Memory Computing. Solid-State Disk (SSD) 573 is a new storage device that provides much higher access 574 speed than traditional HDD. Instead of using HDD, one 575 approach is to adopt SSD as persistent storage by setting up 576 a multi-tier storage system as illustrated in Fig. 3. In comparison to HDD, the data movement between memory and 578 SSD is much faster. We can improve Spark performance by 579 spilling RDDs to SSD when the memory cache is full. By 580 using SSDs, there can be up to $10 \times$ performance improvement over HDD-based caching approach for Spark [59]. 582

NVM-Based In-Memory Computing. Compared to DRAM, 583 the latency of SSD is still very large (i.e., about 500× slower 584 than DRAM) although it is much faster than HDD [81]. 585 Emerging Non-Volatile Memory (NVM), such as PCM, 586 STT-RAM and ReRAM, is considered as an alternative to 587 SSD [119] due to its much lower latency and higher bandwidth than SSD. We can integrate DRAM, NVM and SSD to
establish a multi-tier caching system by first caching the
data in DRAM, or putting into NVM when DRAM is full, or
in the SSD when both DRAM and SSD are full.

593 4 PROCESSOR SUPPORTING LAYER

Since the limited performance and energy efficiency of gen-594 eral-purpose CPUs have impeded the performance scaling 595 of conventional data centers, it becomes more and more 596 popular to deploy accelerators in data centers, such as GPU 597 598 and FPGA. Therefore, accelerator-based heterogeneous machine has become a promising basic block of modern 599 data center to achieve further performance and efficiency. 600 In this section, we first provide a summary of Spark systems 601 602 integrating with GPU to accelerate the computing task. Second, we make a survey of Spark systems with FPGA. 603

4.1 General Purpose Computation on Graphics Processors (GPGPU)

While Graphics Processing Units (GPU) is originally designed 606 for graphics computation, it now has been widely evolved as 607 an accelerator to deal with general computing operations tradi-608 tionally handled by CPU, which is referred to as GPGPU [138]. 609 GPU has been widely integrated into modern datacenter for 610 its better performance and higher energy efficiency over CPU. 611 However, the modern computing framework like Spark can-612 not directly leverage GPU to accelerate its computing task. 613 Several related projects reach out to fill the gap. 614

- *HeteroSpark.* Li *et al.* [118] present an novel GPUenabled Spark *HeteroSpark* which leverages the compute power of GPUs and CPUs to accelerate machine
 learning applications. The proposed GPU-enabled
 Spark provides a plug-n-play design so that the current Spark programmer can leverage GPU computing
 power without needing any knowledge about GPU.
- Vispark. Choi et al. [82] propose an extension of Spark
 called Vispark, which leverages GPUs to accelerate
 array-based scientific computing and image processing applications. In particular, Vispark introduces
 Vispark Resilient Distributed Dataset (VRDD) for
 handling the array data on the GPU so that GPU
 computing abilities can be fully utilized.
- 3) Exploring GPU Acceleration of Apache Spark. Manzi 629 et al. [125] explore the possibilities and benefits of 630 offloading the computing task of Spark to GPUs. In 631 particular, the non-shuffling computing tasks can be 632 computed on GPU and then the computation time 633 is significantly reduced. The experimental result 634 635 shows that the performance of K-Means clustering application was optimized by 17X. Its implementa-636 tion is publicly available (https://github.com/ 637 adobe-research/spark-gpu). 638
- 639 4) Columnar RDD. Ishizaki [43] proposes one prototype
 640 which saves the inner data in a columnar RDD, com641 pared with the conventional row-major RDD, since
 642 the columnar layout is much easier to benefit from
 643 using GPU and SIMD-enabled CPU. Therefore, the
 644 performance of the applicatin logistic regression is
 645 improved by 3.15X.

4.2 FPGA

FPGA is integrated into the computing framework Spark to 647 accelerate inner computing task. In particular, there are two 648 related projects: FPGA-enabled Spark and Blaze. 649

- FPGA-enabled Spark [80]. It explores how to efficiently 650 integrate FPGAs into big-data computing framework 651 Spark. In particular, it designs and deploys an 652 FPGA-enabled Spark cluster, where one representa- 653 tive application next-generation DNA sequencing is 654 accelerated with two key technologies. The first one 655 is that they design one efficient mechanism to effi- 656 ciently harness FPGA in JVM so that the JVM-FPGA 657 communication (via PCIe) overhead is alleviated. 658 The other one is that one FPGA-as-a-Service (FaaS) 659 framework is proposed where FPGAs are shared 660 among multiple CPU threads. Therefore, the com- 661 puting abilities of FPGAs can be fully utilized and 662 then the total execution time is significantly reduced. 663
- Blaze [83]. It provides a high-level programming 664 interface (e.g., Java) to Spark and automatically lev- 665 erages the accelerators (e.g., FPGA and GPU) in the 666 heterogeneous cluster to speedup the computing 667 task without the interference of programmer. In 668 other words, each accelerator is abstracted as the 669 subroutine for Spark task, which can be executed on 670 local accelerator when it is available. Therefore, the 671 computation time can be significantly reduced. Oth- 672 erwise, the task will be executed on CPU.

5 DATA MANAGEMENT LAYER

In the age of Big Data, data is generally saved and managed 675 in distributed filesystems or databases. This sections gives a 676 survey of widely used data storage and management systems for Spark. 678

5.1 Distributed File Systems

1) Hadoop Distributed File System (HDFS). Hadoop Dis- 680 tributed File System is proposed to be deployed on 681 low-cost commodity hardware. It is highly scalable 682 and fault-tolerant, enabling it to run on a cluster 683 includes hundreds or thousands of nodes where the 684 hardware failure is normal. It takes a master-slave 685 architecture, which contains a master called Name- 686 Node to manage the file system namespace and regu- 687 lating access to files by users, and a number of slaves 688 called DataNodes each located at a machine for stor- 689 ing the data. Data uploaded into HDFS are parti- 690 tioned into plenty of blocks with fixed size (e.g., 691 64 MB per data block) and the NameNode dis- 692 patched the data blocks to different DataNodes that 693 save and manage the data assigned to them. To 694 improve data reliability, it replicates each data block 695 three times (the replicator is 3 by default and users 696 can change it) and saves each replica in a different 697 rack. HDFS data access has been originally sup- 698 ported by Spark with its provided native interface,¹ 699

1. Spark provides users the *'spark-submit'* script to launch applications, which supports hdfs.

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Fig. 4. The Alluxio architecture.

which enables Spark applications to read/write data from/to HDFS directly.

- 2) Ceph. The centralized nature inherent in the client/ 702 server model has testified a important barrier to scal-703 able performance. Ceph [153] is a distributed file sys-704 tem which offers high performance and dependability 705 while promising unprecedented expansibility. Ceph 706 uses generating functions replacing file allocation 707 tables to decouple the operations of data and meta-708 709 data. Ceph is allowed to distribute the complexity around data access, update sequence, duplication and 710 dependability, fault detection, and resume by using 711 the intelligence in OSDs. Ceph uses a highly adaptive 712 distributed metadata cluster architecture that greatly 713 enhances the scalability of metadata access and the 714 scalability of the whole system. 715
- Alluxio. With the rapid growth of today's big data, 716 3) storage and networking pose the most challenging bot-717 tlenecks since data writes can become network or disk 718 binding, especially when duplication is responsible 719 for fault-tolerance. Alluxio [19], used to be considered 720 as Tachyon, is a fault-tolerant, memory-centric virtual 721 distributed file system that can address the bottleneck. 722 It enables reliable operation of memory speed and 723 data sharing between different applications and clus-724 ter computing frameworks. To obtain high throughput 725 writes without impairing fault-tolerance, Alluxio lev-726 727 erages the notion of lineage [74] to recover the lost output by re-implementing output tasks, without the 728 need of replicating the data. With Alluxio, users can 729 do transformations and explorations on large datasets 730 in memory for high performance while enjoying its 731 high data reliability. 732

Fig. 4 illustrates the memory-centric architecture of Alluxio. It manages data access and fast storage for user applications and computing frameworks by unifying the computing frameworks (e.g., MapReduce, Spark and Flink), and traditional storage systems (e.g., Amazon S3, Apache HDFS and OpenStack Swift), which facilitates data sharing 738 and locality between jobs no matter whether they are run-739 ning on the same computing system. It serves as a unifying 740 platform for various data sources and computing systems. 741 There are two key functional layers for Aullxio: lineage 742 and persistence. The lineage layer offers high throughput 743 I/O and tracks the information for tasks which produced a 744 specific output. In contrast, the persistent layer materializes 745 data into storage, which is mainly used for checkpoints. 746 Aullxio employs a stand master-slave architecture. That 747 master mainly manages the global metadata of the entire 748 system, tracks lineage information and interacts with a 749 cluster resource manager to distribute resources for recal- 750 culation. The slaves manage local storage resources allo-751 cated to Alluxio, and storing data and serving requests 752 from users. 753

5.2 Cloud Data Storage Services

Cloud storage system is able to be typically viewed as a network of distributed data centers that provides storage service to users for storing data by using cloud computing 757 techniques such as virtualization. It often saves the same 758 data redundantly at different locations for high data availpability, which is transparent to users. The cloud storage service can be accessed by a co-located cloud computer 761 service, an application programming interfaces (API) or by 762 applications that use the API [27]. There are two popular 763 cloud storage services: Amazon S3 and Microsft Azure. 764

754

1). Amazon Simple Storage Service (S3). Amazon S3 is a 765 web-based storage service that allows the user to save and 766 fetch data at any time and any place through web services 767 interfaces such as REST-style HTTP interface, SOSP inter-768 face and BitTorrent protocol [21]. It charges users for on-769 demand storage, requests and data transfers. 770

The data in Amazon S3 is managed as objects with an 771 object storage architecture, which is opposed to file systems 772 that manage data as a file hierarchy. Objects are organized 773 into *buckets*, each of which is owned by an AWS account. 774 Users can identify objects within each bucket by a unique, 775 user-assigned key. 776

Spark's file interface can allow users to access data in 777 Amazon S3 by specifying a path in S3 as input through the 778 same URI formats² that are supported for Hadoop [40]. 779 However, the storage of Spark dataframe in Amazon S3 is 780 not natively supported by Spark. Regarding this, users can 781 utilize a spark s3 connector library [50] for uploading dataframes to Amazon S3. 783

2). *Microsft Azure Blob Storage (WASB)*. Azure Blob stor-784 age (WASB) [35] is a cloud service for users to save and 785 fetch any amount of unstructured data like text and binary 786 data, in the form of Binary Large Objects (BLOBs). Three 787 types of blobs are supported, namely, block blobs, append 788 blobs and page blobs. Block blobs are suitable for storing 789 and streaming cloud objects. Append blobs are optimized 790 for append operations. In contrast, page blobs are improved 791 to represent IaaS disks and support random writes. Multi-792 ple Blobs are grouped into a container and a user storage 793 account can have any number of containers. The saved data 794 can be accessed via HTTP, HTTPS, or REST API. 795

2. The form of URI is: s3n:// < bucket > /path.

Spark is compatible with WASB, enabling the data saved
in WASB to be directly accessed and processed by Spark via
specifying an URI of the format *'wasb://path'* that represents
the path where the data is located.

800 5.3 Distributed Database Systems

1). Hbase. Apache Hbase [9] is an open-source implementa-801 tion of Google's BigTable [79], which is a distributed key-802 value database with the features of data compression, in-803 memory operation and bloom filters on a per-column basis. 804 It runs on top of Hadoop that leverages the high scalability 805 of HDFS and strong batch processing capabilities of MapRe-806 duce to enable massive data analysis, and provides real-807 time data access with the speed of a key/value store for 808 individual record query. 809

810 It is a column-oriented key-value database that each table is saved as a multidimensional sparse map, having a time-811 812 stamp for each cell tagged by column family and column name. A cell value can be identified and retrieved by speci-813 814 fying (Table Id, Row Key, Column-Family:Column, Timestamp). A Hbase table consists of regions, each of which is 815 defined by a startKey and endKey. Except for parent col-816 umn families being fixed in a schema, users can add col-817 umns to tables on-the-fly. All table accesses are achieved by 818 the primary key through the Java API, REST, Avro or Thrift 819 gateway APIs. 820

There are a number of libraries and tools emerged that 821 enable Spark to interact with HBase. Spark-HBase Connec-822 tor [44] is such a library that provides a simple and elegant 823 API for users' Spark applications to connect to HBase for 824 825 reading and writing data. To enable native and optimized SQL access to HBase data via SparkSQL/Dataframe interfa-826 827 ces, a tool called Spark-SQL-on-HBase [51] is developed by Huawei. Moreover, for efficient scanning, joining and 828 829 mutating HBase tables to and from RDDs in a spark environment, there is a generic extension of spark module called 830 spark-on-hbase [46] developed. 831

2). Dynamo. Amazon Dynamo [88] is a decentralized dis-832 tributed key-value storage system with high scalability and 833 availability for Amazon's applications. It has characteristics 834 of both databases and distributed hash tables (DHTs) [28]. It 835 is built to control the state of Amazon's application pro-836 grams which require high reliability over the trade-offs 837 between availability, consistency, cost-effectiveness and 838 performance. Several Amazon e-commerce services only 839 840 need primary-key access to a data store, such as shopping carts, customer preferences and sales rank. For these serv-841 ices, it caused inefficiencies and limited size and availability 842 by using relational databases. In comparison, Dynamo is 843 able to fulfill these requirements by providing a simple pri-844 845 mary-key only interface.

Dynamo leverages a number of efficient optimization tech-846 niques to achieve high performance. It first uses a variant of 847 consistent hashing to divide and replicate data across 848 849 machines for overcoming the inhomogeneous data and workload distribution problem. Second, the technology is similar 850 to arbitration and decentralized replication synchronization 851 protocols to ensure data consistency during the update. Third, 852 it employs a gossip-style membership protocol that enables 853 each machine to learn about the arrival (or departure) of other 854 machine for the decentralized failure detection. 855

3). DynamoDB. Amazon DynamoDB [20] is a new fast, 856 high reliability, cost-effective NoSQL database service 857 designed for Internet applications. It is based on strong dis-858 tributed systems principles and data models of Dynamo. In 859 contrast to Dynamo that requires users to run and manage 860 the system by themselves, DynamoDB is a fully managed 861 service that frees users from the headaches of complex 862 installation and configuration operations. It is built on Solid 863 State Drives which offers fast and foreseeable performance 864 with very low latency at any scale. It enables users to create 865 a database table that can store and fetch any amount of data 866 through the ability to disperse data and traffic to a sufficient 867 number of machines to automatically process requests for 868 any level of demand. 869

Medium company [36] creates a library called *Spark-* 870 *DynamoDB* [30] that provides DynamoDB data access for 871 Spark. It enables to read an DynamoDB table as a Spark 872 DataFrame, and allows users to run SQL quries against 873 DynamoDB tables directly with SparkSQL. 874

4). Cassandra. Apache Cassandra [111] is a highly scal- 875 able, distributed structured key-value storage system 876 designed to deal with large-scale data on top of hundreds 877 or thousands of commodity servers. It is open sourced by 878 Facebook in 2008 and has been widely deployed by many 879 famous companies. 880

Cassandra integrates together the data model from 881 Google's BigTable [79] and distributed architectures of 882 Amazon's Dynamo [88], making it eventually consistent 883 like Dynamo and having a columnFamily-based data model 884 like BigTable. Three basic database operations are sup- 885 ported with APIs: insert(table, key, rowMutation), get(table, 886 key, columnName) and delete(table, key, columnName). There 887 are four main characteristics [22] for Cassandra. First, it is 888 decentralized so that every node in the cluster plays the 889 same role without introducing a single fault point of the 890 master. Second, it is highly scalable that read/write 891 throughput both increase linearly as the increasement of 892 new machines and there is no downtime to applications. 893 Third, each data is replicated automatically on multiple 894 machines for fault tolerance and the failure is addressed 895 without shutdown time. Finally, it offers a adjustable level 896 of consistency, allowing the user to balance the tradeoff 897 between read and write for different circumstances. 898

To enable the connection of Spark applications to Cas- 899 sandra, a *Spark Cassandra Connector* [42] is developed and 900 released openly by DataStax company. It exposes Cassan- 901 dra tables as Spark RDDs and can save RDDs back to Cassandra with an implicit *saveToCassandra* call. Moreover, to 903 provide the python support of pySpark [49], there is a 904 module called *pyspark-cassandra* [38] built on top of *Spark* 905 *Cassandra Connector*. 906

5.4 Comparison

Table 2 shows the comparison of different storage systems 908 supported by Spark. We summarize them in different ways, 909 including the type of storage systems they belong to, the 910 storage places where it supports to store the data, the data 911 storing model, the data accessing interface and the licence. 912 Similar to Hadoop, Spark has a wide range support for vari- 913 ous typed storage systems via its provided low-level APIs 914 or SparkSQL, which is crucial to keep the generality of 915

Storage	System Type	Supported Layer	Data Model	Spark Query Interface	License
HDFS	Distributed File System	In Memory, In Disk	Document-Oriented Store	Low-Level API	Open source- Apache
Ceph	Distributed File System	In Disk	Document-Oriented Store	Low-Level API	Ôpen source- LGPL
Alluxio	Distributed File System	In Memory, In Disk	Document-Oriented Store	Low-Level API	Open source- Apache
Amazon S3	Cloud Storage System	In Disk	Object Store	Low-Level API	Commercial
Microsoft WASB	Cloud Storage System	In Disk	Object Store	Low-Level API	Commercial
Hbase	Distributed Database	In Disk	Key-Value Store	SparkSQL, Low-Level API	Open source- Apache
DynamoDB	Distributed Database	In Disk	Key-Value Store	SparkSQL, Low-Level API	Commercial
Cassandra	Distributed Database	In Memory, In Disk	Key-Value Store	SparkSQL, Low-Level API	Open source- Apache

TABLE 2 The Comparison of Different Storage Systems

Spark from the data storage perspective. Like Spark's inmemory computation, the in-memory data caching/storing
is also very important for achieving high performance.
HDFS, Alluxio and Cassandra can support in-memory and
in-disk data storage manners, making them become most
popular and widely used for many big data applications.

922 6 DATA PROCESSING LAYER

As a general-purpose framework, Spark supports a variety
of data computation, including Streaming Processing,
Graph Processing, OLTP and OLAP Queries Processing,
and Approximate Processing. This section discusses about
research efforts on them.

928 6.1 Streaming Processing

Spark Streaming provides users to deal with real-time data 929 from different sources such as Kafka, Flume, and Amazon 930 Kinesis. Spark is built upon the data parallel computing 931 model and offers reliable real-time streaming data process-932 933 ing. Spark streaming converts the processing into a series of 934 deterministic micro-batch calculations, and then utilizes dis-935 tributed processing framework of Spark to implement. The key abstraction is a Discretized Stream [161] which distrib-936 utes data stream into tiny batches. The Spark Streaming 937 works as follows, it partitions the live data stream into 938 batches (called microbatches) of a pre-defined interval (N 939 seconds). Next it takes each batch of data as Resilient Dis-940 tributed Datasets (RDDs) [159]. Spark Streaming can incor-941 porate with any other Spark components such as MLlib and 942 Spark SQL seamlessly. Due to the popularity of spark 943 streaming, research efforts are devoted on further improv-944 ing it. Das et al. [85] study the relationships among batch 945 size, system throughput and end-to-end latency. 946

947 There are also efforts to extend spark streaming 948 framework.

Complex Event Processing. Complex event processing 949 1) 950 (CEP) is a type of event stream processing that assembles various sources data to find patterns and 951 complex relationships among various events. By 952 analyzing many data sources, CEP system can help 953 identify opportunities and threats for providing real-954 time alerts to act on them. Over the last decades, 955 CEP systems have been successfully utilized in diffi-956 dent fields such as recommendation, stock market 957 monitoring, and health-care. There are two open-958 source projects on building CEP system on Spark. 959 Decision CEP engine [3] is a Complex Event 960

Processing platform which combines Spark Streaming framework with Siddhi CEP engine. Spark-62 cep [5] is another stream processing engine built on top of Spark supporting continuous query language. Comparing to the existing Spark Streaming query engines, it supports more efficient windowed aggregation and "Insert Into" query. 967

2) Streaming Data Mining. In this big data era, the grow-968 ing of streaming data motivates the fields of streaming 969 data mining. There are typically two reasons behind 970 the need of evolving from traditional data mining 971 approach. First, streaming data has, in principle, no 972 volume limit, and hence it is often impossible to fit the 973 entire training dataset into main memory. Second, the 974 statistics or characteristics of incoming data are contin- 975 uously evolving, which requires a continuously re- 976 training and evolving. Those challenges make the tra- 977 ditional offline model approach no longer fit. To this 978 end, open-sourced distributed streaming data mining 979 platforms, such as SOMOA [130] and StreamDM [6] 980 are proposed and have attracted many attentions. Typ- 981 ically, StreamDM [6], [73] uses Spark Streaming as the 982 provider of streaming data. A list of data mining librar- 983 ies are supported such as SGD Learner and Perception. 984

6.2 Graph Processing

For graph processing, it can be easily out of the computation 986 and memory capacities of machines when it become larger 987 in scale and more ambitious in their complexity for graph 988 problems. To this end, distributed graph processing frame- 989 works like GraphX [94] are proposed. GraphX is a library 990 atop of Spark, which encodes graphs as collections and 991 expresses the GraphX APIs using standard dataflow opera-922 tors. In GraphX, a number of optimization strategies are 993 developed, and we briefly mention a few here. 994

- GraphX contains a series of built-in partitioning 995 functions suach as the vertex collection and edge col- 996 lection. A routing table is co-divided with the vertex 997 collection which is hash-partitioned by vertex ids. 998 The edge collection can be split horizontally by users 999 and offers vertex-cut partition. 1000
- To maximize index reuse, the subgraph operation 1001 generates subgraphs thatwhich share all graph 1002 indexes, and utilizes a bitmask to represent which 1003 items are contained. 1004
- In order to reduce join operation, GraphX resolves 1005 which attributes a function accesses by analysising 1006 JVM bytecode. Using triple unrealized views that are 1007

1008not yet implemented, only one attribute accessed1009GraphX will involve a two-way join. In the absence1010of attribute access, Gracx can completely eliminate1011the join.

In contrast to many specialized graph processing system 1012 such as Pregel [124], PowerGraph [93], GraphX is closely 1013 1014 integrated into modern general-purpose distributed dataflow system (i.e., Spark). This approach avoids the need of 1015 composing multiple systems which increases complexity 1016 for a integrated analytics pipelines, and reduces unneces-1017 sary data movement and duplication. Furthermore, it natu-1018 rally inherited the efficient fault tolerant feature from Spark, 1019 which is usually overlooked in specialized graph processing 1020 framework. The experimental evaluation also shows that 1021 GraphX is close to or faster than specialized graph process-1022 ing systems. 1023

1024 6.3 OLTP and OLAP Queries Processing

Hybrid Transaction/Analytical Processing (HTAP) systems 1025 respond to OLTP and OLAP queries by keeping data in dual 1026 formats and it provides streaming processing by the utiliza-1027 tion of a streaming engine. SnappyData [141] enable stream-1028 ing, transactions and interactive analytics in a unitary system. 1029 1030 It exploits AQP techniques and multiple data summaries at 1031 true interactive speeds. SnappyData include a deep integra-1032 tion of Spark and GemFire. An operation of in-memory data 1033 storage is combined with the model of Spark computation. It will make all available CPU kernels busy when tasks are 1034 implmneted in partition mode. Spark's API are extended to 1035 uniform API for OLAP, OLTP and streaming. 1036

1037 6.4 Approximate Processing

1038 Modern data analytics applications demand near real-time 1039 response rates. However, getting exact answer from extreme large size of data takes long response time, which 1040 is sometimes unacceptable to the end users. Besides utiliz-1041 ing extra resources (i.e., memory and CPU) to reduce data 1042 processing time, approximate processing provides faster 1043 query response by reducing the amount of work need to 1044 perform through techniques such as sampling or online 1045 aggregation. It has been widely observed that users can 1046 accept some inaccurate answers which come quickly, espe-1047 1048 cially for exploratory queries.

1). Approximate Query Processing. In practice, having a low 1049 1050 response time is crucial for many applications such as webbased interactive query workloads. To achieve that, Sameer 1051 et al. [67] proposed a approximate query processing system 1052 called BlinkDB atop of Shark and Spark, based on the distrib-1053 uted sampling. It can return the query result for a large queries 1054 1055 of 17 full data terabytes within 2 seconds while keeping substantial error bounds bound to results with 90-98 percent. 1056 The strength of BlinkDB comes from two meaningful ideas: 1057 (1) an adaptive optimization framework which keeps a series 1058 1059 of multi-dimensional samples from raw data based on time (2) a dynamic sample selection strategy based on the accuracy 1060 and response time of queries. Moreover, to evaluate the accu-1061 racy of BlinkDB, Agarwal et al. [66] proposed an effective 1062 error estimation approach by extending the prior diagnostic 1063 algorithm [108] to check when bootstrap-based error estimates 1064 are not reliable. 1065

Considering that the join operation is a key building 1066 block for any database system, Quoc *et al.* [114] proposed a 1067 new join operator called APPOXJOIN that approximates 1068 distributed join computations on top of Spark by interweav- 1069 ing Bloom filter sketching and stratified sampling. It first 1070 uses a Bloom filter to prevent non-joinable data shuffling 1071 and then uses a stratified sampling approach to get a representative sample of the joined output. 1073

2). Approximate Streaming Processing. Unlike the batch 1074 analysis method in which the input data keeps unchanged 1075 during the sampling process, the data for streaming analyt- 1076 ics is changing over time. Quoc et al. [113] shows that the 1077 traditional batch-oriented approximate computing are not 1078 well-suited for streaming analytics. To address it, they pro- 1079 posed a streaming analytics system called STREAMAPROX 1080 by designing an online stratified reservoir sampling method 1081 to generate approximate output with tight margins of error. 1082 It implements STREAMAPROX on Apache Spark Streaming 1083 and experimental results show that there can be a accelerate 1084 rate of $1.1 \times -2.4 \times$ while keeping the same accuracy over 1085 the baseline of Spark-based approximate calculation system 1086 utilizing the existing sampling modules in Apache Spark. 1087

3). Approximate Incremental Processing. Incremental proc- 1088 essing refers to a data computation that is incrementally sched- 1089 uled by involving the same application logic over the input 1090 data [96] so as to avoid recomputing everything from scratch. 1091 Like approximate computation, it works over a subset of data 1092 items but differ in their choosing means. Krishnan *et al.* [110] 1093 observe that the two paradigms are complementary and pro- 1094 posed a new paradigm called approximate incremental proc- 1095 essing that leverages the approximation and incremental 1096 techniques in order for a low-latency execution. They proposed 1097 an online stratified sampling algorithm by leveraging adapta- 1098 tion calculation to generate an incremental updated approxi-1099 mation with bounded error and executed it in Apache Spark 1100 Streaming by proposing a system called INCAPPROX. The 1101 experimental evaluation shows that benefits of INCAPPROX 1102 equipping with incremental and approximate computing. 1103

7 HIGH-LEVEL LANGUAGE LAYER

Spark is designed in Scala [41], which is an object-oriented, 1105 functional programming language running on a JVM that can 1106 call Java libraries directly in Scala code and vice versa. Thus, it 1107 natively supports the Spark programming with Scala and 1108 Java by default. However, some users might be unfamiliar 1109 with Scala and Java but are skilled in other alternative lan- 1110 guages like Python and R. Moreover, Spark programming is 1111 still a complex and heavy work especially for users that are 1112 not familiar with Spark framework. Thereby, having a high- 1113 level language like SQL declarative language on top of Spark 1114 is crucial for users to denote tasks while leave all complicated 1115 implementing majorization details to the backend Spark 1116 engine, which alleviates users' programming burdens signifi- 1117 cantly. In next section, we indicate the research work which 1118 has been proposed to address problems. 1119

7.1 R and Python High-Level Languages Support 1120

1) *SparkR*. In the numeric analysis and machine learn- 1121 ing domains, R [39] is a popular programming 1122

1123 language widely used by data scientists for statistical computing and data analysis. SparkR [53], [151] is a 1124 light-weight frontend system that incorporates R 1125 into Spark and enables R programmers to perform 1126 large amount of data analysis from the R shell. It 1127 extends the single machine implementation of R to 1128 1129 the distributed data frame implementation on top of Spark for large datasets. The implementation of 1130 SparkR is on the basis of Spark's parallel DataFrame 1131 abstraction [129]. It supports all Spark DataFrame 1132 analytical operations and functions including aggre-1133 gation, filtering, grouping, summary statistics, and 1134 mixing-in SQL queries. 1135

PySpark. PySpark [48] is the Python API for Spark, 1136 2) which exposes the Spark programming model to 1137 1138 Python. It allows users to write Spark applications in Python. There are a few differences between PySpark 1139 1140 and Spark Scala APIs. First, Python is a dynamically typed language so that the RDDs of PySpark have the 1141 1142 capability to save objects of multiple types. Second, the RDDs of PySpark support the same functions as that 1143 of Scala APIs but leverage Python functions and return 1144 Python collection types. Third, PySpark supports 1145 anonymous functions, which can be passed to the 1146 PySpark API by using Python's lambda functions. 1147

1148 7.2 SQL-Like Programming Language and System

1). Shark. Apache Shark [91], [156] is the first SQL-on-Spark 1149 effort. It is built on top of Hive codebase and uses Spark as 1150 1151 the backend engine. It leverages the Hive query compiler (HiveQL Parser) to analysis a HiveQL query and produce 1153 an abstract syntax tree followed by turning it into the logical plan and optimization. Shark then generates a physical plan 1154 1155 of RDD operations and finally executes them in Spark system. A number of performance optimizations are consid-1156 ered. To reduce the large memory overhead of JVM, it 1157 executes a columnar memory storage based on Spark's 1158 native memory store. A cost-based query optimizer is also 1159 implemented in Shark for choosing more efficient join order 1160 according to table and column statistics. To reduce the 1161 impact of garbage collection, Shark saves all columns of 1162 primitive types as JVM primitive arrays. Finally, Shark is 1163 completely compatible with Hive and HiveQL, but much 1164 faster than Hive, due to its inter-query caching of data that 1165 eliminates the need to read/write repeatedly on disk. It can 1166 support more complex queries through User Defined Func-1167 tions (UDFs) that are referenced by a HiveQL query. 1168

2). Spark SQL. Spark SQL [129] is an evolution of SQL-1169 on-Spark and the state-of-art new module of Spark that 1170 1171 has replaced Shark in providing SQL-like interfaces. It is proposed and developed from ground-up to overcome the 1172 difficulty of performance optimization and maintenance of 1173 Shark resulting from inheriting a large, complicated Hive 1174 1175 codebase. Compared to Shark, it adds two main capabilities. First, Spark SQL provides much tighter hybrid of rela-1176 tional and procedural processing. Second, it becomes easy 1177 for users to do some extensions, including adding compos-1178 able rules, controling code generation, and defining exten-1179 sion points. It is compatible with Shark/Hive that supports 1180 all existing Hive data formats, user-defined functions 1181



Fig. 5. Interfaces to Spark SQL [129].

(UDF) and the Hive metastore, while providing the state- 1182 of-the-art SQL performance. 1183

Fig. 5 presents the programming interface to Spark SQL 1184 containing two main cores of DataFrame API and Catalyst 1185 Optimizer, and its interaction with Spark. It exposes SQL 1186 interfaces through a command line console such as JDBC or 1187 ODBC, and the DataFrame API implemented in Spark's 1188 procedural programming languages. The DataFrame is the 1189 main abstraction in Spark SQL's API. It is a distributed sets 1190 of records that enable to execute with Spark's supported 1191 API and new relational APIs. The Catalyst, in contrast, is a 1192 scalable query optimizer with functional programming constructs. It simplifies the addition of new optimization techniques and characteristics of Spark SQL and enables users 1195 to expand the optimizer for their application needs. 1196

3). Hive/HiveQL. Apache Hive [147] is an open-source 1197 data warehousing method based on Hadoop by the Face- 1198 book Data Infrastructure Team. It aims to incorporate the 1199 classical relational database notion as well as high-level 1200 SQL language to the unstructured environment of Hadoop 1201 for those users who were not familiar with map-reduce. 1202 There is a mechanism inside Hive that can project the struc- 1203 ture of table onto the data saved in HDFS and enable data 1204 queries using a SQL-like declarative language called 1205 HiveQL, which contains its own type system with support 1206 for tables, collections and nested compositions of the same 1207 and data definition language (DDL). Hive compiles the 1208 SQL-like query expressed in HiveQL into a directed acyclic 1209 graph of map-reduce jobs that are executed in Hadoop. 1210 There is a metastore component inside Hive that saves the 1211 metadata about underlying tables, which is particular dur- 1212 ing the creation and reused whenever the table is referenced 1213 in HiveQL. The DDL statements supported by HiveQL 1214 enable to create, drop and alter tables in Hive databases. 1215 Moreover, the data manipulation statements of HiveQL can 1216 be used to import data from external sources such as HBase 1217 and RCFile, and put query results into Hive tables. 1218

Hive has been widely used by many organizations/users 1219 for their applications [8]. However, the default backend execution engine for Hive is MapReduce, which is less powerful than Spark. Adding Spark as an alternative backend 1222 execution engine to Hive is thus an important way for Hive users to migrate the execution to Spark. It has been realized in the latest version of Hive [23]. Users can now run Hive on top of Spark by configuring its backend engine to Spark. 1226

4). *Pig/Pig Latin*. Apache Pig [24] is an open source data- 1227 flow processing system developed by Yahoo!, which serves 1228



Fig. 6. A instance of SQL Query and its equivalent Pig Latin program. [24].

for experienced procedural programmers with the prefer-1229 ence of map-reduce style programming over the pure 1230 declarative SQL-style programming in pursuit of more con-1231 trol over the execution plan. It consists of a execution engine 1232 and high-level data flow language called Pig Latin [136], 1233 which is not declarative but enables the expression of a 1234 user's task with high-level declarative queries in the SQL 1235 1236 spirit and low-level procedural programming with MapReduce. Fig. 6 gives a instance of SQL query and the Pig Latin 1237 1238 program which has the same function, which is a sequence of transformation steps each of which is carried out using 1239 1240 SQL-like high-level primitives such as filtering, grouping and aggregation. Given a Pig Latin program, the Pig execu-1241 tion engine generates a logic query plan, compiles it into a 1242 DAG of MapReduce jobs, and finally submitted to Hadoop 1243 cluster for execution. 1244

There are several important characteristics for Pig Latin 1245 in casual ad-hoc data analysis, including the support of a 1246 nested data model as well as a set of predefined and cus-1247 tomizable UDFs, and the capability of operating over raw 1248 data without the schema. The basic data type is Atom (e.g., 1249 integer, double, and string) in Pig Latin. Multiple Automs 1250 can be integrate into several Tuples which can form a Bag. 1251 Map is a complex data type supported by Pig Latin, which 1252 1253 contains a key and a set of items that can be searched with 1254 its associated key.

Like Hive, the default backend execution engine for Pig is MapReduce. To enable the execution of Pig jobs on Spark for performance improvement, there is a Pig-on-Spark project called Spork [54] that plugs in Spark as an execution engine for Pig. With Spork, users can choose Spark as the backend execution engine of the Pig framework optionally for their own applications.

1262 7.3 Comparison

Table 3 illustrates the comparison of different programming language systems used in Spark. To be compatible, it supports Hive and Pig by allowing users to replace the backend execution engine of MapReduce with Spark. To make the query efficient, Shark is first developed and later evolves to SparkSQL. Moroever, SparkR and PySpark are provided in Spark in order to support R and Python languages which are widely used by scientific users. Among these languages, 1270 the major differences lie in their supported language types. 1271 SparkR and PySpark can support Dataflow and SQL-like 1272 programming. In contrast, Shark, SparkSQL and Hive are 1273 SQL-like only languages, while Pig is a dataflow language. 1274

8 APPLICATION/ALGORITHM LAYER

As a general-purpose system, Spark has been widely used 1276 for various applications and algorithms. In this section, we 1277 first review the support of machine learning algorithms on 1278 Spark. Next we show the supported applications on Spark. 1279

8.1 Machine Learning Support on Spark

Machine learning is a powerful technique used to develop 1281 personalizations, recommendations and predictive insights 1282 in order for more diverse and more user-focused data products and services. Many machine learning algorithms 1284 involve lots of iterative computation in execution. Spark is 1285 an efficient in-memory computing system for iterative processing. In recent years, it attracts many interests from both 1287 academia and industry to build machine learning packages 1288 or systems based on Spark. We will discuss about research 1289 efforts on it in this section. 1290

8.1.1 Machine Learning Library

1). *MLlib.* The largest and most active distributed machine 1292 learning library for Spark is MLlib [17], [128]. It contains 1293 fast and scalable executions of common machine learning 1294 algorithms and a variety of basic analytical utilities, lowlevel optimization primitives and higher-level pipeline 1296 APIs. It is a general machine learning library that provides 1297 algorithms for most use cases and meanwhile allows users 1298 to expand it for Professional utilization. 1299

There are several core features for MLlib as follows. First, 1300 it implements a number of classic machine learning algo- 1301 rithms, including various linear models (e.g., SVMs, logistic 1302 regression, linear regression), naive Bayes, and random for- 1303 est for classification and regression problems; alternating 1304 least squares for collaborative filtering; and k-means for 1305 clustering and dimensionality reduction; FP-growth for fre- 1306 quent pattern mining. Second, MLlib provides many opti- 1307 mizations for supporting efficient distributed learning and 1308 prediction. Third, It supports practical machine learning 1309 pipelines natively by using a package called spark.ml inside 1310 MLlib, which simplifies the adjustment of multi-stage learning pipelines by offering unified high-level APIs. Lastly, 1312 there is a tight and seamless integration of MLlib with 1313 Spark's other components including Spark SQL, GraphX, 1314 Spark streaming and Spark core, bringing in high 1315

TABLE 3 The Comparison of Different Programming Language Systems

System	Language Type	Data Model	UDF	Access Interface	MetaStore
SparkR	Dataflow, SQL-like	Nested	Supported	Command line, web, JDBC/ODBC server	Supported
PySpark	Dataflow, SQL-like	Nested	Supported	Command line, web, JDBC/ODBC server	Supported
Shark	SQL-like	Nested	Supported	Command line	Supported
SparkSQL	SQL-like	Nested	Supported	Command line, web, JDBC/ODBC server	Supported
Ĥive	SQL-like	Nested	Supported	Command line, web, JDBC/ODBC server	Supported
Pig	Dataflow	Nested	Supported	Command line	Not supported

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performance improvement and various functionality sup-port for MLlib.

MLlib has many advantages, including simplicity, scalability, streamlined end-to-end and compatibility with Spark's other modules. It has been widely used in many real applications like marketing, advertising and fraud detection.

1322 2). *KeystoneML*. KeystoneML [143] is a framework for ML pipelines, from the UC Berkeley AMPLab aimed to simplify 1323 the architecture of machine learning pipelines with Apache 1324 Spark. It enables high-throughput training in a distributed 1325 environment with a high-level API [58] for the end-to-end 1326 large-scale machine learning applications. KeystoneML has 1327 several core features. First, users can specify machine learn-1328 ing pipelines in a system with high-level logical operators. 1329 Second, as the amount of data and the complexity of the 1330 1331 problem change, it expands dynamically. Finally, it automatically improves these applications by a library of opera-1332 1333 tors and users resources. KeystoneML is open source and being applied in scientific applications about solar phys-1334 1335 ics [104] and genomics [31].

3). Thunder. Thunder [55] is an open-source library devel-1336 oped by Freeman Lab [32] for large-scale neural data analy-1337 sis with Spark. It is desiged by PySpark APIs for robust 1338 numerical and scientific computing libraries (e.g., NumPy 1339 and SciPy), and offers the simplest front end for new users. 1340 Thunder provides a set of data structures and uses to load 1341 and storing data with a amount of input formats and classes 1342 for processing distributed data of spatial and temporal, and 1343 modular functions such as time series analysis, image proc-1344 essing, factorization and model fitting [92]. It can be used in 1345 1346 many fileds involving medical imaging, neuroscience, video processing, and geospatial and climate analysis. 1347

1348 4). ADAM. ADAM [56] is a library and parallel framework that enables to work with both aligned and unaligned 1349 1350 genomic data using Apache Spark across cluster/cloud computing environments. ADAM provides competitive 1351 performance to optimized multi-threaded tools on a single 1352 node, while enabling scale out to clusters with more than a 1353 thousand cores. ADAM is built as a modular stack where it 1354 supports a wide range of data formats and optimizes query 1355 patterns without changing data structures, which is differ-1356 ent from traditional genomics tools that are not flexible and 1357 only targeted at a certain kind of applications or func-1358 tions [61]. There are seven layers of the stack model from 1359 bottom to top: Physical Storage, Data Distribution, Material-1360 ized Data, Data Schema, Evidence Access, Presentation, 1361 Application [127]. A "narrow waisted" layering model is 1362 developed for building similar scientific analysis systems to 1363 enforce data independence. This stack model separates 1364 computational patterns from the data model, and the data 1365 1366 model from the serialized representation of the data on disk. They exploit smaller and less expensive machines, 1367 resulting in a 63 percent cost improvement and a $28 \times$ 1368 improvement in read preprocessing pipeline latency [135]. 1369

1370 8.1.2 Machine Learning System

In the current era of Artificial Intelligence (AI), there is a
trend that data and AI should be unified together given that
a large amount of constantly updated training data are often
required to build state-of-the-art models for AI applications.

Spark is the only unified analytics system that integrates 1375 large-scale data processing with sate-of-the-art machine 1376 learning and AI algorithms so far [62]. 1377

1). *MLBase*. The complexity of existing machine learning 1378 algorithms is so overwhelming that users often do not 1379 understand the trade off and difficults of parameterizing 1380 and picking up between different learning algorithms for 1381 achieving good performance. Moreover, existing distributed systems that support machine learning often require 1383 ML researchers to have a strong background in distributed 1384 systems and low-level primitives. All of these limits the 1385 wide use of machine learning technique for large scale data 1386 sets seriously. MLBase [109], [145] is then proposed to 1387 address it as a platform.

2). Sparkling Water. H2O [33] is a fast, scalable, open- 1389 source, commercial machine learning system produced by 1390 H2O.ai Inc. [34] with the implementation of many common 1391 machine learning algorithms including generalized linear 1392 modeling (e.g., linear regression, logistic regression), Naive 1393 Bayes, principal components analysis and k-means cluster- 1394 ing, as well as advanced machine learning algorithms like 1395 deep learning, distributed random forest and gradient 1396 boosting. It provides familiar programming interfaces like 1397 R, Python and Scala, and a graphical-user interface for the 1398 ease of use. To utilize the capabilities of Spark, Sparkling 1399 Water [52] integrates H2O's machine learning engine with 1400 Spark transparently. It enables launching H2O on top of 1401 Spark and using H2O algorithms and H2O Flow UI inside 1402 the Spark cluster, providing an ideal machine learning plat-1403 form for application developers. 1404

Sparking Water is designed as a regular Spark application and launched inside a Spark executor spawned after 1406 submitting the application. It offers a method to initialize 1407 H2O services on each node of the Spark cluster. It enables 1408 data sharing between Spark and H2O with the support of 1409 transformation between different types of Spark RDDs and 1410 H2O's H2OFrame, and vice versa. 1411

3). Splash. It is efficient to address machine learning and 1412 optimization problems with Stochastic algorithms. Splash 1413 [165] is a framework for speeding up stochastic algorithms, 1414 which are efficient approaches to address machine learning 1415 and optimization problems, on distributed computing sys- 1416 tems. It makes up of a programming interface and an execution 1417 engine. Users can develop sequential stochastic algorithms 1418 with programming interface and then the algorithm is auto- 1419 matically parallelized by a communication-efficient execution 1420 engine. It can call Splash framwork to construct parallel algo- 1421 rithms by execution engine of Splash in a distributed manner. 1422 With distributed versions of averaging and reweighting 1423 approach, Splash can parallelize the algorithm by converting a 1424 distributed processing task into a sequential processing task. 1425 Reweighting scheme ensures the total load handled by indi- 1426 vidual thread is same as the number of samples in full 1427 sequence. It indicates a single thread to produce a complete 1428 update of completely unbiased estimates. Splash automatically 1429 discerns the optimal parallelism for this algorithm by using the 1430 approach. The experiments show that Splash outperforms the 1431 prior art algorithms of single-thread stochastic and batch by an 1432 order of magnitude. 1433

4). Velox. BDAS(Berkeley Data Analytics Stack) contained 1434 a data storage manager, a dataflow execution engine, a 1435



(a) ML Pipeline with multiple programs on separated clusters.



(b) ML Pipeline with single program on one cluster.

Fig. 7. Distributed deep learning computing model. [26].

1436 stream processor, a sampling engine, and a set of advanced analytics packages. But BDAS has insufficiencies in the way 1437 to offer users actually data, and industrial users of the stack 1438 have come up with their solutions to model services and 1439 management. Velox [84] fills the gap which is a system for 1440 executing model services and model maintenance in pro-1441 portion. It offers a low-latency, intuitive model interface for 1442 applications and services. Moreover, it transforms the origi-1443 nal statistical model which is currently trained by offline 1444 computing frameworks into a complete end-to-end data rec-1445 ommending products such as target advertisements and 1446 1447 web content. Velox consists of two key element of construction: Velox model predictor and manager. Velox model 1448 1449 manager orchestrates the computation and maintenance of a set of pre-declared machine learning models, incorporat-1450 1451 ing feedback, evaluating the capability of models and retraining models if necessary. 1452

Deep Learning. As a class of machine learning algorithms, 1453 Deep learning has become very popular and been widely 1454 used in many fields like computer version, speech recogni-1455 tion, natural language processing and bioinformatics due to 1456 its many benefits: accuracy, efficiency and flexibility. There 1457 are a number of deep learning frameworks implemented on 1458 top of Spark, such as CaffeOnSpark [25], DeepLear-1459 1460 ning4j [37], and SparkNet [131].

5). CaffeOnSpark. In many existing distributed deep 1461 1462 learning, the model training and model usage are often separated, as the computing model shown in Fig. 7a. There 1463 is a big data processing cluster (e.g., Hadoop/Spark clus-1464 1465 ter) for application computation and a separated deep 1466 learning cluster for model training. To integrate the model 1467 training and model usage as a united system, it requires a large amount of data and model transferred between two 1468 separated clusters by creating multiple programs for a typ-1469 ical machine learning pipeline, which increases the latency 1470 1471 and system complexity for end-to-end learning. In contrast, an alternative computing model, as illustrated in Fig. 7b, is 1472 to conduct the deep learning and data processing in the 1473 same cluster. 1474

1475 Caffe [103] is a popular deep learning framework, which
1476 is developed in C++ with CUDA by Berkeley Vision and
1477 Learning Center (BVLC). According to the model of Fig. 7b,



Fig. 8. CaffeOnSpark Architecture. [26].

Yahoo extends Caffe to Spark framework by developing 1478 CaffeOnSpark [25], [26], which supports distributed deep 1479 learning on a cluster consisting of GPU and CPU machines. 1480 CaffeOnSpark is a Spark package for deep learning, as a 1481 complementary to non-deep learning libraries MLlib and 1482 Spark SQL. 1483

The architecture of CaffeOnSpark is shown in Fig. 8. It 1484 can launch Caffe engines within the Spark executor on GPU 1485 or CPU devices by invoking a JNI layer with fine-grain 1486 memory management. Moreover, to achieve similar performance as dedicated deep learning clusters, CaffeOnSpark 1488 takes Spark+MPI architecture, which leverages MPI allreduce style interface for the network communication across 1490 CaffeOnSpark executors by TCP/Ethernet or RDMA/ 1491 Infiniband. 1492

6). Deeplearning4j/dl4j-spark-ml. Deeplearning4j [37] is the 1493 first commercial grade but open source, distributed deep 1494 learning library designed for Java and Scala, and a comput- 1495 ing framework with the support and implementation of 1496 many deep learning algorithms, including restricted Boltz- 1497 mann machine, deep belief net, deep autoencoder, stacked 1498 denoising autoencoder and recursive neural tensor net- 1499 work, word2vec, doc2vec and GloVe. It integrates with 1500 Spark via a Spark package called *dl4j-spark-ml* [47], which 1501 provides a set of Spark components including DataFrame Readers for MNIST, Labeled Faces in the Wild (LFW) and 1503 1504 IRIS, and pipeline components for NeuralNetworkClassification and NeuralNetworkReconstruction. It supports het- 1505 erogeneous architecture by using Spark CPU to drive GPU 1506 coprocessors in a distributed context. 1507

7). SparkNet. SparkNet [29], [131] is an open-source, distributed system for training deep network in Spark released 1509 by the AMPLab at U.C. Berkley in Nov 2015. It is based on 1510 Spark and Caffe, where Spark works for distributed data 1511 processing and Caffe framework is responsible for the core 1512 learning process. SparkNet can read data from Spark RDDs 1513 through interfaces which is compatible to Caffe. It achieves 1514 a good scalability and tolerance of high-latency communicatic gradient descent. It also allows Spark users to construct 1517 deep networks using existing deep learning libraries or systems, such as TensorFlow [64] or Torch as a backend, 1519 instead of building a new deep learning library in Java or 1520 Scala. Such a new integrated model of combining existing 1521 1522 model training frameworks with existing batch frameworks is beneficial in practice. For example, machine learning 1523 often involves a set of pipeline tasks such as data retrieving, 1524 cleaning and processing before model training as well as 1525 model deployment and model prediction after training. All 1526 of these can be well handled with the existing data-process-1527 1528 ing pipelines in today's distributed computational environments such as Spark. Moreover, the integrated model of 1529 SparkNet can inherit the in-memory computation from 1530 Spark that data can be cached in memory to complete for 1531 fast computation, instead of writing to disk between opera-1532 tions as a segmented approach does. It also allows machin-1533 ing learning algorithm easily to pipeline with Spark's other 1534 components such as Spark SQL and GraphX. 1535

Moreover, there are some other Spark-based deep learn-1536 1537 ing libraries and frameworks, including OpenDL [18], DeepDist [15], dllib [57], MMLSpark [60], and DeepSpark 1538 1539 [106]. OpenDL [18] is a deep learning training library based on Spark by applying the similar idea used by DistBelief 1540 1541 [86]. It executes the distributed training by splitting the training data into different data shards and synchronizes 1542 the replicate model using a centralized parameter server. 1543 DeepDist [15] accelerates model training by offering asyn-1544 chronous stochastic gradient descent for data saved on 1545 HDFS. Dllib [57] is a distributed deep learning framework 1546 based on Apache Spark. It offers a simple interface for users 1547 to write and run deep learning algorithms on spark. For 1548 MMLSpark [60], it provides users with a set of deep learn-1549 ing tools for Spark, For example, it enables seamless integra-1550 tion of Spark Machine Learning pipelines with Microsoft 1551 1552 Cognitive Toolkit (CNTK) and OpenCV as well as the creation of powerful, highly-scalable predictive and analytical 1553 1554 models for large image and text datasets quickly. Deep-Spark [106] is an alternative deep learning framework simi-1555 1556 lar to SparkNet. It integrates three components including Spark, asynchronous parameter updates, and GPU-based 1557 Caffe seamlessly for enhanced large-scale data processing 1558 pipeline and accelerated DNN training. 1559

1560 8.2 Spark Applications

As an efficient data processing system, Spark has been
widely used in many application domains, including Genomics, Medicine&Healthcare, Finance, and Astronomy, etc.

1564 **8.2.1** Genomics

Due to its computational efficiency and good adaptive capa-1565 bility for simple and complex phenotypes, the effective scor-1566 ing statistical method is widely applied for the inference of 1567 high-throughput genomic data. To solve the problem of 1568 1569 resulting calculation for resampling based inference, it is need a scalable distributed computing approach. Cloud 1570 computing platforms are appropriate, because they allow 1571 users to analyze data at a modest cost without access to 1572 1573 mainframe computer infrastructure. SparkScore [71] is a series of distributed computing algorithms executed in 1574 Spark. It uses the awkward parallel nature of genomic 1575 resampling inference based on effective score statistics. This 1576 calculation takes advantage of Spark's fault-tolerant fea-1577 1578 tures and can be easily expanded to analyze DNA and RNA sequencing data such as expression of quantitative feature 1579

loci (eQTL) and phenotypic association studies. Experi- 1580 ments with synthetic datasets show the efficiency and scal- 1581 ability of SparkScore, including large-capacity resampling 1582 of Big Data, under Amazon Elastic MapReduce (EMR) clus- 1583 ter. To study the utility of Spark in the genomic context, 1584 SparkSeq [155] was proposed, which executes in-memory 1585 computings on the Cloud via Apache Spark. It is a versatile 1586 tool for RNA and DNA sequencing analysis for processing 1587 in the cloud. Several operations on generic alignment for- 1588 mat (e.g., Binary Alignment/Map (BAM) format and 1589 Sequence Alignment/Map (SAM) format [117]) are pro- 1590 vided, including filtering of reads, summarizing genomic 1591 characteristics and basic statistical analyses operations. 1592 Moreover, SparkSeq makes it possible to customize second- 1593 ary analyses and iterate the algorithms of machine learning. 1594 Spark-DNAligning [68] is an acceleration system for DNA 1595 short reads alignment problem by exploiting Spark's perfor- 1596 mance optimizations, including caching, broadcast variable, 1597 join after partitioning, and in-memory computations. 1598 SPARK-MSNA [152] is a multiple sequence alignment 1599 (MSA) system for massive number of large sequences, 1600 which is promised to achieve a better alignment accuracy 1601 and comparable execution time than state-of-the-art algo- 1602 rithms (e.g., HAlign II). 1603

8.2.2 Medicine & Healthcare

In a modern society with great pressure, more and more 1605 people trapped in health issues. In order to reduce the cost 1606 of medical treatments, many organizations were devoted 1607 to adopting big data analytics into practice so as to avoid 1608 cost. Large amount of healthcare data is produced in 1609 healthcare industry but the utilization of those data is low 1610 without processing this data interactively in real-time [69]. 1611 Now it is possible to process real time healthcare data with 1612 Spark given that Spark supports automated analytics by 1613 iterative processing on large data set. But in some circum- 1614 stances the quality of data is poor, which brings a big prob- 1615 lem. To generate an accurate data mart, a spark-based data 1616 processing and probability record linkage method is pro- 1617 posed [72]. This approach is specifically designed to sup- 1618 port data quality assessment and database connectivity by 1619 the Brazilan Ministry of Health and the Ministry of Social 1620 Development and Hunger Reduction. Moreover, to study 1621 the sensitivity of drug, Hussain et al. [99] make a predic- 1622 tion analysis of the drug targets in the base of cancer cell 1623 line using various machine learning algorithms such as 1624 support vector machine, logistic regression, random forest 1625 from MLlib of Spark. 1626

8.2.3 Finance

Big data analytic technique is an effective way to provide 1628 good financial services for users in financial domain. For 1629 stock market, to have an accurate prediction and decision 1630 on the market trend, there are many factors such as politics 1631 and social events needed to be considered. Mohamed *et al.* 1632 [142] propose a real-time prediction model of stock market 1633 trends by analyzing big data of news, tweets, and historical 1634 price with Apache Spark. The model supports the offline 1635 mode that works on historical data, and real-time mode 1636 that works on real-time data during the stock market 1637

1604

session. Li *et al.* [45] builds a quantitative investing tool
based on Spark that can be used for macro timing and portifolio rebalancing in the market.

To protect user's account during the digital payment and 1641 online transactions, fraud detection is a very important issue 1642 in financial service. Rajeshwari et al. [148] study the credit 1643 1644 card fraud detection. It takes Spark streaming data processing to provide real-time fraud detection based on Hidden 1645 Markov Model (HMM) during the credit card transaction 1646 by analyzing its log data and new generated data. Carcillo 1647 et al. [77] propose a realistic and scalable fraud detection 1648 system called Real-time Fraud Finder (SCARFF). It uses a 1649 machine learning approach to integrate Big Data softwares 1650 including Kafka, Spark and Cassandra by dealing with class 1651 imbalance, nonstationarity and verification latency. 1652

Moreover, there are some other financial applications such as financial risk analysis [7], financial trading [90].

1655 8.2.4 Astronomy

Considering the technological advancement of telescopes 1656 and the number of ongoing sky survey projects, it is safe to 1657 say that astronomical research is moving into the Big Data 1658 era. Sky surveys provide a huge data set that can be used 1659 simultaneously for various scientific researches. Kira [166], 1660 a flexible distributed astronomy image processing toolkit 1661 1662 based on Spark, is proposed to execute a Source Extractor 1663 application and the extraction accuracy can be improved. To support the task of querying and analyzing arbitrarily 1664 large astronomical catalogs, AXS [162] is proposed. It first 1665 enables efficient online positional cross-matching in Spark. 1666 Second, it provide a Python library for commonly-used 1667 operations on astronomical data. Third, it implements 1668 ZONES algorithm for scalable cross-matching. Moreover, 1669 there are some other work on Astronomy such as spatial 1670 data analysis [154], [158]. 1671

1672 9 CHALLENGES AND OPEN ISSUES

In this section, we discuss research issues and opportunitiesfor Spark ecosystem.

Memory Resource Management. As an in-memory process-1675 1676 ing platform built with Scala, Spark's performance is sensitive to its memory configuration and usage of JVMs. The 1677 memory resource is divided into two parts. One is for RDD 1678 caching. The other is used for tasks' working memory to 1679 store objects created during the task execution. The proper 1680 configuration of such memory allocation is non-trivial for 1681 performance improvement. Moreover, the overhead of JVM 1682 garbage collection can be a challenge when there are a 1683 amount of "churn" for cached RDDs, or due to serious inter-1684 ference between the cached RDDs and tasks' working mem-1685 ory. For this, Maas et al. [122] have a detailed study for GC's 1686 impact on Spark in distributed environment. The proper 1687 tuning of GC thus plays an important role in performance 1688 optimization. Currently, it is still at early stage and there are 1689 not good solutions for Spark. It opens an important issue on 1690 the memory resource management and GC tuning for 1691 Spark. Regarding this, recently, Spark community starts a 1692 new project for Spark called Tungsten [4] that places Spark's 1693 memory management as its first concern. 1694

New Emerging Processor Support. In addition to GPU and 1695 FPGA, the recent advancement on computing hardware 1696 make some new processors emerged, such as APU [75] and 1697 TPU [105], etc. These can bring new opportunities to 1698 enhance the performance of Spark system. For example, 1699 APU is a coupled CPU-GPU device that incorporates the 1700 CPU and the GPU into a single chip so that the CPU and the 1701 GPU can communicate with each other by the shared physi- 1702 cal memory via featuring shared memory space between 1703 them [75]. It can improve the performance of existing dis- 1704 crete CPU-GPU architecture where CPU and GPU commu- 1705 nicate via PCI-e bus. TPU is a domain-specific processor for 1706 deep neural network. It can give us a chance to speedup 1707 Spark for deep learning applications by migrating Spark to 1708 TPU platform. 1709

Heterogenous Accelerators Support. Besides emerging processors, it could be possible in practice that a Spark computing system consists of a number of diverse processors such 1712 as CPU, GPU, FPGA and MIC as illustrated in Spark ecosystem of Fig. 1. Rather than supporting a single processor 1714 only, it is crucial to have a upgraded Spark that can utilize 1715 all of the computing devices simultaneously for maximum 1716 performance. Due to the fact that different accelerators are 1717 based on different programming models (e.g., CUDA for 1718 GPU, OpenCL for FPGA), it open us a new challenge on 1719 how to support such different types of accelerators for 1720 Spark at the same time. 1721

RDD Operation and Sharing. There are several open issues 1722 for current Spark's RDD. First, it allows only coarse- 1723 grained operations (i.e., one operation for all data) on 1724 RDDs, whereas the fine-grained operations (e.g., partial 1725 read) are supported. One work is to design some fine- 1726 grained operations on partial data of RDD. Second, current 1727 RDDs are immutable. Instead of modifying on existing 1728 RDD, any update operation would generate new RDD, 1729 some data of which can be redundant and thus results in a 1730 wast of storage resource. Third, for a RDD, its data parti- 1731 tions can be skewed, i.e., there are many small partitions 1732 coupled with a few number of large-size partitions. More- 1733 over, a Spark task computation generally involves a series 1734 of pipelined RDDs. Thus, the skewed RDD partitions can 1735 easily incur the chained unbalanced problem for tasks, 1736 which causes some workers much busier than others. 1737 Fourth, Spark itself does not support RDD sharing across 1738 applications. For some applications that have the same 1739 input data or redundant task computation, enabling RDD 1740 sharing can be an approach to improve the performance of 1741 the whole applications. 1742

Failure Recovery. In contrast to MapReduce that provides 1743 fault tolerance through replication or checkpoint, Spark 1744 achieves failure recovery via lineage re-computation, which is 1745 much more cost efficient since it saves costs caused by data 1746 replication between network and disk storage. The lineage 1747 information (e.g., input data, computing function) for each 1748 RDD partition is recorded. Any lost data of RDDs can be 1749 recovered through re-computation based on its lineage information. However, there is a key assumption that all RDD lineage information is kept and always available, and the driver 1752 does not fail. It means that Spark is not 100 percent fault tolerance without overcoming this assumption. It thus remains us an open issue on how to enhance fault tolerance for Spark. 1755 1756 5G Network. The upcoming of 5G is supposed to significantly improve the bandwidth and reduce the latency of 1757 communication network, bringing new opportunities for 1758 many research area and applications including Internet of 1759 Things (IoT), autonomous driving, augmented and virtual 1760 reality (AR/VR) services [89]. The high speed of 5G enables 1761 1762 the application data from mobile devices to be transferred to remote servers directly for (realtime) computation. It 1763 implies that there can be more opportunities for Spark to 1764 handle streaming computation applications. In this situa-1765 tion, one open issue is about the security enhancement of 1766 5G data during the Spark computation given the existing 1767 poor security mechanism of Spark. Another opportunity 1768 driven by 5G can be that we can establish a mobile Spark 1769 cluster for data computation using mobile devices such as 1770 1771 smart phones and smart tablets under the 5G network. In this case, one open issue can be that the communication net-1772 1773 work would be no longer a bottleneck. Instead, the electricity power of mobile devices can then be the major concern. 1774

10 CONCLUSION 1775

Spark has gained significant interests and contributions 1776 both from industry and academia because of its simplicity, 1777 generality, fault tolerance, and high performance. However, 1778 there is a lack of work to summarize and classify them com-1779 prehensively. In view of this, it motives us to investigate the 1780 related work on Spark. We first overview the Spark frame-1781 work, and present the pros and cons of Spark. We then pro-1782 vide a comprehensive review of the current status of Spark 1783 studies and related work in the literature that aim at 1784 improving and enhancing the Spark framework, and give 1785 the open issues and challenges regarding the current Spark 1786 finally. In summary, we hopefully expect to see that this 1787 1788 work can be a useful resource for users who are interested 1789 in Spark and want to have further study on Spark.

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